

UNC FACULTY BUDGET INVOLVEMENT--FACULTY ASSEMBLY RESPONSE

1997-98

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School	Departmental Priority Setting	Departmental Faculty Budget Committee	University-Wide Priority Setting	University-Wide Faculty Budget Committee	Miscellaneous Comments
Appalachian State University	Faculty input into the budget process begins at the departmental level. Department committees or individuals make recommendations to each department's chair, who makes recommendations to the dean of the college and then to the Vice	Department-level budget planning and requests are sometimes generated by committees who make recommendations to the department's budget chair. Unit planning is used.	The administration makes ultimate budget decisions. The faculty senate has a standing budget committee that makes recommendations to the administration. Committee recommendations have been implemented in the past. ASU also has a standing	Faculty are included on the standing budget committee of the faculty senate and the University Strategic Planning Commission.	The ASU Office of Academic Affairs generates an annual report of salaries which summarizes faculty salaries by college, department, and rank. Administrative salaries are not included. Each department has a library
	Chancellor for Academic Affairs. Unit planning is used.		long-range planning committee: The University Strategic Planning Commission.		representative who works closely with the library staff on budget decisions.
East Carolina University	The dean of the College of Arts and Sciences submits a ranked budget request to the Vice Chancellor for Academic Affairs. This request is based, in part, on the budget requests submitted to the dean by the chairs of College departments. The	Each unit's code of operations provides procedures that allow faculty to discuss the annual budget with the unit administrator. Level of input varies in units based on specific language in each unit	Deans submit change budget priority requests to vice chancellors. These requests are combined and sent to the Chancellor and then to the UNC General Administration. The change budget for ECU is derived from a pool of monies	The faculty Educational Policies and Planning Committee annually reviews with the Chancellor and Vice Chancellor for Academic Affairs the proposed university budget prior to its submission to	Flexible funding is permitted based on availability of funds from unfilled faculty and staff salary lines and attendant fringe benefits. The Chancellor, acting in concert with the vice chancellors and Director
	department chairs prepare their requests after obtaining input from departmental faculty, in accordance with Appendix L of the ECU Faculty Manual and the budget review procedures set forth in each department's code of operations.	code.	allocated to the UNC General Administration by the state legislature.	General Administration.	makes allocation decisions.

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Elizabeth City State University	Individuals or department committee makes recommendations to each department head, who makes recommendations to the Vice Chancellor for Academic Affairs.	Usually unit planning; budget recommended to department chair by individual faculty	Although the administration makes ultimate budget decisions, the faculty is involved via the budget committee of the Faculty Senate. ECSU has a Strategic Planning Committee: faculty and staff have direct input as it relates to individual departments.	The University Executive Committee, where budget matters are discussed, has faculty representation through the Faculty Senate Chair. The University also has a Strategic Planning Committee with total faculty involvement.	No entry.
Fayetteville State University	Faculty submit requests to department chairs, who in turn submit their requests to the dean of the school, who submits requests to the Vice Chancellor of Academic Affairs.	Budget requests go directly from faculty members to department chairs.	A University Planning Committee recommends institutional priorities. Faculty members are included on this committee. The administration makes the ultimate budget decisions.	The Faculty Senate Budget Committee conveys budget concerns through the Faculty Senate to the administration.	The Faculty Senate Budget Committee does not see the entire budget and is not involved in the decision-making process.
North Carolina Agricultural and Technical State University	Department chairs receive budget information from faculty; however, faculty do not participate, recommend, approve, or even receive a copy of the budget requests submitted by departments or schools.	The NC A&T response does not mention the existence of a faculty budget committee.	Deans and division heads hold budget hearings. The Faculty Senate Chair is invited to these hearings. There is no indication of faculty involvement.	The NC A&T response does not mention the existence of a university-wide budget committee.	No entry.

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North Carolina Central University	Each department, with active faculty input, develops a budget which is submitted simultaneously to the appropriate dean and the Vice Chancellor for Academic Affairs.	The NCCU response does not mention the existence of a faculty budget committee.	Prioritized budget requests go from the Academic Planning Committee to the University Planning Committee, which recommends the budget to the Trustees, who ultimately determine the budget. Three faculty members, including the Chair of the Faculty Senate	Budgets from each department are reviewed by the Academic Planning Committee, which includes nine faculty members appointed by the Faculty Senate and the Provost. This committee prioritizes budget requests based on the university's goals.	The Chair of the Faculty Senate is also a member of the Chancellor's Cabinet and has budget input at this level. Budgets for Administrative Services are reviewed by the administrative Services Committee. Three faculty members appointed by the Chair of
			and two Chair-appointees are members of the University Planning Committee.		the Faculty Senate serve on this committee. The Faculty Senate receives monthly reports from each of the University Planning Committees and makes recommendations to the committees.
North Carolina School of the Arts	The Dean of each school is encouraged to establish the school's own priorities. This is done on a school-by-school basis at the Dean's discretion. There is no university-wide written guidelines for this process.	NCSA does not have mandated departmental-level budget committees. Department chairs obtain budget information directly from faculty members.	The Long Range Plan is used to assist the administration in establishing priorities for budgeting and fund raising goals. There is an annual meeting of administrators to outline priorities.	The Vice Chancellor of each area of responsibility has a process, but there is no university-wide written procedure for the budget planning priorities.	Vice Chancellors assist the Chancellor in preparing budget estimates and assigning priorities. The Vice Chancellor for Art and Academic Programs advises the Chancellor on needs and priorities of the schools.

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North Carolina State University	Faculty participation in budgetary matters occurs at the departmental level only at the discretion of the department head. All faculty are expected to participate in departmental planning efforts.	The department head decides whether there is any faculty participation in budgetary matters. Department heads are encouraged to share budgetary information with their faculties.	Seven faculty members (including the Chair and Chair-Elect of the faculty Senate) plus four other faculty who are administrators serve on the University's Strategic Planning Committee. This group identifies the mission, vision and goals	A Budget Committee established by the Faculty Senate meets regularly with the Assistant to the Vice Chancellor for Finance and Business, who freely answers all budget questions. The Chair of the Faculty Senate is a member of the administrative team	The Budget Committee has taken the lead in developing resolutions on the proposed tuition increase, faculty salaries and tuition remissions for graduate students.
			for the University which, in turn, drives the budgeting process.	that reviews the strategic plans for all units--the initial stage in the budgeting process.	
University of North Carolina at Asheville	Departments generate budget requests. UNCA does not have deans, so the requests go from departments to the Council of Chairs, which reviews the requests and submits them to the Vice Chancellor for Academic Affairs.	The UNCA response does not mention formal departmental budget committees, but it does state that full faculty participation in budget policy varies by department, indicating some faculty participation.	The Chancellor sets priorities after consulting with an advisory committee composed of the Vice Chancellors and select administrators. The faculty participate through the University Planning Council, which submits its recommendations to the Chancellor.	The Chancellor makes the budget decisions after consultation with her advisory committee. The faculty participate through the University Planning Council, which submits its recommendations to the Chancellor.	The library submits its budget requests after consultation with a faculty advisory committee.

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University of North Carolina at Chapel Hill	Deans and Directors prepare budget requests based on thorough review and input from faculty. Such input may include programmatic priorities and new initiatives; evaluation of faculty compensation, retention, or recruiting; graduate student	Deans, Directors or Department Chairs may convene an advisory committee to evaluate particular aspects of the budget, or to request input with respect to matters impacting the budget. However, in most cases committees are convened to provide	The University Priorities and Budget Committee has been formally charged by the Chancellor with the development of University priorities. These priorities were developed with broad input of representatives of faculty, student, staff and administrative	The Chancellor's Advisory Committee is one place where faculty participate in university-wide budget matters. The Executive Committee of the Faculty Council is consulted on the pan-university budget.	At the school level, some deans have an advisory committee comprised of faculty and administrators and may use that group for overall review of change budget requests as well as for budget policy advice. Some deans also have faculty committees that
	support; technology; or space. Such requests are forwarded through the appropriate administrative channels and reflect the highest priorities of the unit.	input or direction on specific programmatic issues which directly effect budget decisions. In many departments the budget is the sole responsibility of the Department Chair, Director or Dean.	constituencies. This framework of the University priorities will guide the acquisition and allocation of University resources.		advise them on salary policies.

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University of North Carolina at Charlotte	Normally department chairs ask for faculty input into the budget process. Chairs prioritize their lists and forward them to their respective deans. Priorities are developed in consultation with the department's equipment committee or graduate and	The UNCC response does not discuss department-level faculty budget committees.	The Board of Trustees makes university budget decisions. The faculty president is on the Board, but has no vote. When budget matters arise at Board meetings, they are removed to executive sessions where faculty are excluded. The Chancellor	The faculty executive committee has a budget subcommittee which includes the current and past presidents of the faculty and two elected members of the faculty executive committee. The subcommittee meets with the Chancellor two or three times per year	The Academic Planning and Budget Advisory Committee provides advice to the Provost in various areas. the president, president-elect and past president of the Faculty plus two members of the faculty Executive Committee elected by that body plus one
			discuss budget matters with the faculty council.	to discuss budget matters in an advisory capacity.	senior faculty appointed by the faculty president of the faculty along with the Provost and Vice Chancellor for Academic Affairs serve on the committee.
University of North Carolina at Greensboro	The UNCG response does not discuss department-level budget priority setting.	The UNCG response does not discuss department-level faculty budget committees.	The Faculty Senate Budget committee conducted a university-wide survey to establish faculty's view on budget priorities. This was requested by the administration.	A budget committee under supervision of the faculty senate meets with the Chancellor and other officials for information purposes.	No entry.

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University of North Carolina at Pembroke	Faculty submit requests to department chairs, who submit requests to the Vice Chancellor of Academic Affairs.	No formal budget committees exist.	All of the University's budgets are made available to and reviewed by the University Budget Committee. The committee makes recommendations regarding both the continuation and the expansion budgets.	The University Budget Committee is composed of four faculty members, two classified employees, the four Vice Chancellors, Controller, and the Accountant.	No entry.
University of North Carolina at Wilmington	Standard faculty requests are made at the department level which then flow "through the University" to the administration.	There is no mention of department-level budget committees. Given the standard request procedure, such committees probably do not exist.	The administration makes the ultimate budget decisions.	A committee which reports to the faculty senate reviews the university budget reactively. The faculty senate presents resolutions to the administration.	No entry.
Western Carolina University	Funds are budgeted to departments at the discretion of the respective deans. Intradepartmental priorities are established by faculty through a strategic planning process. Allocation of departmental funds is at the department head's discretion,	Formal faculty budget committees do not exist; budgeting decision making is at the department head's discretion with faculty involvement in the process.	The institutional-level Strategic Planning Committee is engaging in this process; faculty members participate on the Committee. The Faculty Senate has supported recently developed mission and vision statements.	No University-wide Faculty Budget Committee exists.	WCU is engaging in extensive planning at all levels that will eventually tie budgeting to strategic pursuits.
	but faculty typically participate in the decision making.				

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Winston-Salem State University	The only role the faculty play in the budgeting process is the requests for expenditures at the department level.	The WSSU response does not discuss department-level faculty budget committees.	The WSSU response does not indicate who makes ultimate budget decisions.	WSSU has no university-wide budget committee.	No entry.