

EAST CAROLINA UNIVERSITY FACULTY SENATE
JANUARY 31, 1989

The fifth regular meeting of the Faculty Senate for 1988/89 was held on Tuesday, January 31, 1989, in Mendenhall Student Center, Room 244. Members absent were as follows: Chamberlain (Art), Terrell (Education), Cunningham (Medicine), and Donohue (Theatre Arts). Alternates present were: Ferrance for Lee (Nursing), Givens for Chamness (Allied Health), Goolsby for Taggart (Music), Guy for Karns (Business), Lubbers for Hampton (Science Education), and Moskop for Dudek (Medicine). Faircloth was present as an observer for Theater Arts.

Agenda Item I. Call to Order

Chair Conner Atkeson called the meeting to order at 2:10 p.m. Chair Atkeson called for a minute of silence in honor of past President and Chancellor Leo Jenkins who passed away this month.

Agenda Item II. Approval of Minutes of December 6, 1988

Sexauer (Art) moved approval of the minutes of December 6, 1988. The motion was seconded and passed on a voice vote.

Agenda Item III. Special Order of the Day

B. Announcements, Chair of the Faculty, Conner Atkeson

1. Clarification of Published Figures of ECU Faculty included in the ECU Faculty Senate Minutes of December 6, 1988:

The figure 776 represents the preliminary number of faculty to be included in the Fall 1988 AAUP Report on Faculty Salaries. Significant among the selection criteria for inclusion in the AAUP Report is that an employee must not be a clinical faculty member in a school of medicine. However, these medical clinical faculty members are included in the population of the Office of Civil Rights (OCR) Report on Employees in Institutions of Higher Education, and the Fall 1988 OCR Report shows ECU to have 1,041 faculty members.

At the time of the telephone inquiry by the Charlotte Observer, the Office of Planning and Institutional Research used the only figures available which were the figures for the AAUP Report. Although not specified in the table printed by the Observer, it appears as though the OCR version of faculty was used by other institutions.

The Office of Planning and Institutional Research has asked the News Bureau to verify this matter with the newspaper and to further clarify this matter in internal publications.

An article of clarification was published in the January 15, 1989, issue of Pieces of Eight.

2. Chancellor Eakin has approved Senate resolutions as follows:
 - #88-47 Research/Creative Activity Charge
 - #88-48 Admissions Committee charge to study appeals process time requirements relevant to improving the early semester start date
 - #88-50 Search Committee Ethics Statement
 - #88-51 Policy (Interim) and Procedures on Ethics in Research and Creative Activity
 - #88-52 Unit Code of the English Department
 - #88-53 Sale of Complimentary Textbooks
3. Academic and Administrative Committee Preference Forms have been distributed to all faculty. If you are interested in serving on one of these committees

a completed form must be returned to the Faculty Senate Office no later than Friday, February 3, 1989.

4. Within the next two weeks, departments will receive notification of Senate representation for 1989/90. If it is necessary for your unit to hold an election(s), please do so and report the name(s) of the senators/alternates to the Faculty Senate Office no later than March 1, 1989.
5. The Chemistry Department has elected Wayne Ayers to serve as Senator in the absence of Robert Morrison. Myron Caspar will replace George Evans as Alternate.
6. Tellers for the Faculty Assembly Alternate Election will be as follows:
Patricia Anderson (Education)
Stella Daugherty (Math)
Marsha Ironsmith (Psychology)
Ralph Scott (Academic Library Services)

B. Richard Eakin, Chancellor

Chancellor Eakin began his remarks by reporting that the Chancellor's Forum on the theme, "Educational and Economic Development: The Challenge for Schools, Community Colleges and Universities," held January 3 and 4, was well attended and well received. He stated that the attendance was near 400 and two local community groups initiated by the forum have already met. The Chancellor acknowledged the generous financial support of CT&T and said, "I must give high praise to one of your colleagues, Dr. Bertie Fearing, for her splendid direction of the Forum program." He informed the Senate that plans are already underway for another forum next year on a related theme.

Dr. Eakin reported that legislative review of the 1989-91 biennial budget proposals has begun in Raleigh and that he would be appearing before the appropriate committees shortly. The Joyner Library expansion is ECU's highest priority for capital improvements funding.

While on the subject of improvements, the Chancellor stated that campus street repairs would commence at the end of the spring semester and that bids have been let for parking lot expansions.

Undergraduate admission reports indicate a strong interest in ECU and that the number of applications received is well-ahead of the number received by the same time last year, Eakin related. He expressed the belief that the new screening process in place this year would result in higher average test scores for the incoming freshmen.

Chancellor Eakin announced that a video conference entitled "Myths, Models, and Realities: Creating a Campus of the Future" will be held on February 7, and he recommended it to the faculty. This is organized by Blake Price, Director, Computing and Information Systems, and Sue Hodges, Director, Planning and Institutional Research.

At this point, the Chancellor, with the permission of the Chair, presented Dave Hart, Athletic Director, to address the matter of Proposition 42: Mr. Hart stated that Proposition 42 eliminates the partial qualifier from Proposition 48. Proposition 48, he reminded the Senate, requires a 700 minimum on the SAT and a 2.0 GPA in a core curriculum. He related that the Academic Committee and the Presidential Commission of the NCAA were against receiving "42" while a five-year study of "48" is in progress. For similar reasons, he reported that the ECU delegation to the recent meeting voted against "42."

Hart said that ECU admits no non-qualifiers under "48" and only carefully screened partial qualifiers. "We're doing it right," he stated.

On another matter, Director Hart related that he had received very complimentary remarks from a parent of a potential recruit regarding faculty participation during a recent recruiting effort. He thanked the faculty for this important service.

Ken Wilson (Faculty Assembly) asked how many students have been admitted who had SAT scores below 700 and how many of those have graduated. The Chancellor responded that he did not know, but that it was an excellent question and he would find out the answer.

(A complete copy of Chancellor Eakin's remarks is available for review in the Senate office.)

D. Vice Chancellor Reports

Vice Chancellor Laupus (Health Services) reported on the progress being made to solve the recent problems of our Nursing graduates' low pass-rate on the state examination. A task force has studied the problem and has already forwarded 17 recommendations, which address the immediate needs of our senior Nursing students as well as the long-term solution, to the faculty. He stated, "We are making progress toward an appropriate solution."

E. Writing Across the Curriculum

Pat Bizzaro (English), Chair of the Ad Hoc Committee on Writing Across the Curriculum, presented a status report on the progress this committee is making in regard to Faculty Senate Resolution 87-12. He reported that a pilot study with financial support for participating faculty is being planned. "Where we are is where we would like to be," he stated. [A copy of the report is available in the Senate office.]

In response to questions from senators, Bizzaro indicated that criteria for selection of faculty participants and guidelines for proposals would be forthcoming.

F. Committee on Committees, Election of Faculty Assembly Alternate

Chair Atkeson presented the slate of nominees to the Senate and called for nominations. Miriam Quick (Nursing) and Christa Reiser (Sociology and Anthropology) were nominated. The results of the balloting were as follows:

<u>Nominees</u>	<u>Votes</u>
Quick	28
Reiser	20
McDaniel	1

The number of votes required for election was 26. Chair Atkeson declared Quick elected. She will become the fifth alternate, and will serve a term expiring in 1992.

Agenda Item IV. Unfinished Business

Henry Ferrell (History), Chair of the Research/Creative Activity, presented the Revised Policy on Ethics in Research and Creative Activity. George Bailey (Philosophy) moved that Item 8 in the document be deleted, indicating that extra-credit in a course is more a matter of teaching ethics and that any related research concerns are covered in Item 9. Bob Schellenberger (Business) spoke for the motion suggesting that the first paragraph in Item 8 may be "imposing restrictions in the classroom."

At this point, in response to a question from Charles Singhas (Biology), much discussion and consultation questioning the proper interpretation of the special requirements for research involving human beings ensued. Following a reading to the Senate of the exemptions section of Appendix R, the consensus seemed to be that a departmental chairperson could decide if a research project is exempt and does not need review by the appropriate committee.

Bob Woodside (Mathematics) spoke against the motion stating that "even if Item 8 is somewhat redundant of other regulations it might be well to be repeated and if that is redundant, so be it."

Don Sexauer (Art) moved a substitute motion to retain Item 8 and place a reference to Appendix R at the end of Item 8 and retain just the reference to Appendix S at the end of Item 9.

At this point, despite the best effort of the Chair, discussion continued on the the original motion and not the substitute. Bailey, Parkerson (History), and Marsha Ironsmith (having been granted floor privileges), appeared to speak in favor of the original motion. Sexauer and Woodside spoke in favor of the amendment. Judy Bernhardt called the question and the substitute motion passed on a division of the house: 21 votes for and 20 votes against.

After some further questions from senators, Don Lennon (Academic Library Services) moved to include the word "unpaid" as the fourth word in Item 8 and change the fifth word from "assistance" to "assistants." Emily Boyce called the question and the motion passed.

Singhas then moved to make the second sentence of Item 8 the first sentence and insert the word "Therefore," to introduce the amended first sentence which would now become the second sentence. After some discussion, Woodside called the question and the motion passed.

Wilbur Castellow, Chair of Psychology, having been granted floor privileges stated, "If we are not allowed to give extra credit, it will have a devastating effect on our research and teaching."

Monnie Hedges (Psychology) moved to have the last clause in Item 8 read "that course grades of non-participants are in no way dependent upon participation." This apparent truism met with objection from Ken Wilson. Hedges, speaking for his motion, stated that extra credit does not change very many grades. Usually one extra point is added to the final average and most of the time the student would stay within the same grade letter, he said. Chestang replied, "If it really does not make much difference in grades, why do the students fall for this line? This may be a fruitful area for research." Judy Bernhardt again called the question and the motion failed.

Gene Yarbrough (Political Science) moved to delete the second paragraph of Item 8 and insert as Item 9 the paragraph contained in the January 30 Memo to Faculty Senators from the Psychology Department with some rewording, renumbering the other items accordingly. David Lawrence called the question and the motion passed. [Please see Item 9 in the document attached.]

Steve Thomas (Allied Health) moved to change "may" in Item 7 to "must" and add the word "but" following "form,". The motion passed.

Bob Schellenberger requested an editorial change replacing the words "reprehensible" in Item 2 and "indefensible" in Item 3 with the word "unethical". This change was accepted.

Schellenberger moved the deletion of the last sentence in Item 7. The motion passed on a division of the house: 24 votes for, 14 votes against.

Jo Ann Jones (English) reminded the Senate that the word "Intentionally" had been left out as the first word in Item 3. The word was rightfully restored.

Ken Wilson moved the addition of the words "and must comply with a code of ethics in their discipline," prior to "whether" in Item 10 (now Item 11). Bailey, Bloodworth, and Moskop spoke against the motion citing the lack of knowledge of what such codes might contain, the possible conflicts with the rest of the document, and the fact that some professions have two or more codes conflicting on certain points. The motion failed.

Schellenberger suggested the editorial insertion of the words "of essentially the same material" after "Publication" in Item 5. The insertion was accepted.

Vice Chancellor Laupus moved the adoption of the document as amended. The motion passed. (Attachment 1) (Resolution #89-1)

Agenda Item V. Report of Committees

A. Committee on Committees

In the absence of Dan Hines, Chair of the Committee on Committees, Chair Atkeson presented the second reading of the Charge of the Research/Creative Activity Committee (Attachment 2 - Agenda, December 6, 1988). The Senate adopted the revised charge.

B. Curriculum Committee, Bill Grossnickle, Chair

Professor Grossnickle presented the recommendations in the January 12, 1989, minutes of the Curriculum Committee. The Senate adopted the recommendations.

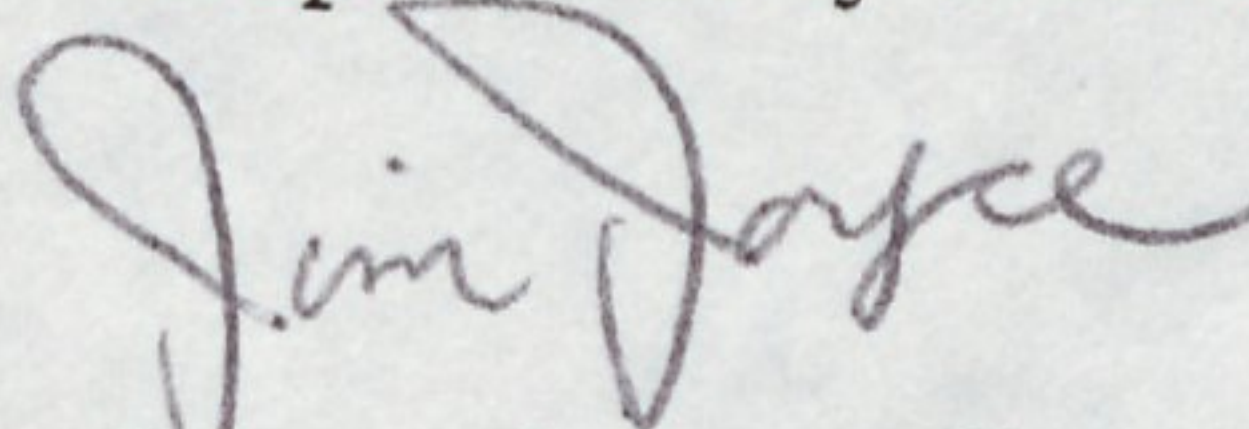
Agenda Item VI. New Business

Professor Simon Baker (Geography and Planning) presented his resolution (Attachment 3 - Agenda). After receiving a second, Baker spoke to his resolution.

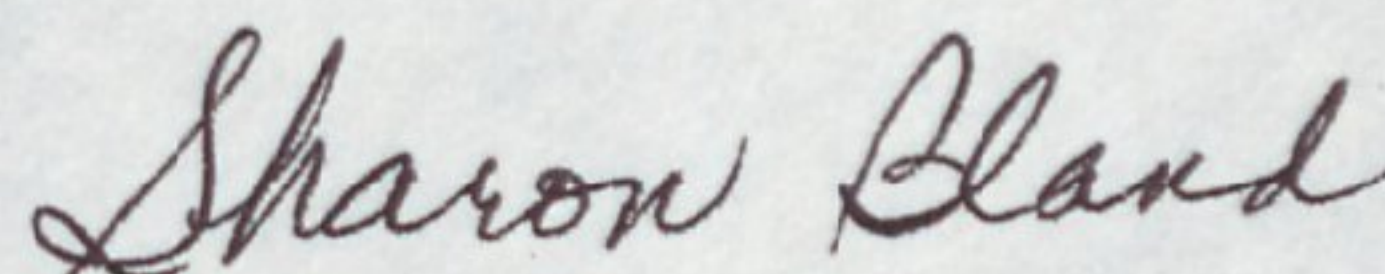
Gene Yarbrough (Political Science) moved to refer the resolution to the Faculty Governance Committee. The motion passed. Chair Atkeson called for a report at the April Senate meeting. (Resolution #89-2)

There being no further business, Chair Atkeson adjourned a very tired Senate at 4:42 p.m.

Respectfully submitted,



James Joyce, Secretary



Sharon Bland, Office Secretary

#89-1 Policy On Ethics in Research and Creative Activity

#89-2 Charge to Faculty Governance Committee to Consider the Baker Resolution Regarding the Establishment of Excellence in Administration Award and Report to the Faculty Senate at the April 25, 1989, meeting.

POLICY ON ETHICS IN RESEARCH AND CREATIVE ACTIVITIES
(Revised January 31, 1989)

Policy

Faculty and staff members of East Carolina University have the responsibility to seek honestly and to promulgate ethically the truth in all phases of work. This responsibility governs not only the production and dissemination of research and creative activities, but also all applications for funding, reports to funding agencies, and teaching and publication of teaching materials.

East Carolina University subscribes to the following principles in its research and creative activities:

1. Honesty and truth must underlie all relationships of faculty and staff members with those in their profession, the academic community, and the public.
2. Fabrication and falsification of information that a researcher claims is based on experimentation or observation is unethical.
3. Intentionally selecting data or the treatment of data to present views known by the researcher to be false is unethical.
4. Plagiarism, defined here as dissemination under one's own name of the tangible products of another person's work without due credit to that person, is not acceptable.
5. Publication of essentially the same article in more than one journal of a study without citing the duplication is unethical, as is any equivalent duplicity.
6. Faculty and staff members must be fully conversant with and able to defend their part in any work disseminated with their permission under their names and should be generally conversant with the said work as a whole.
7. Faculty and staff members must list coauthors of a work, disseminated in any form, but only with those persons' expressed consent. The unwarranted inclusion of coauthors who have not been substantially involved in the work is unethical and may lead to violations of Item 6 above.
8. Students completing theses or taking research courses for credit should not be relegated to purely routine work, without training or participating in the design of the project or the analysis of the data. Therefore, the involvement of unpaid student assistants in research must be structured to enhance students' education and creative activities.
9. When it is appropriate for students to participate as subjects in research, faculty and staff must assure potential subjects that participation is absolutely voluntary, that participation as a research subject shall not be a course requirement, that participation shall have educational value, that students shall be told at the beginning of the course if there are to be opportunities for extra credit, that alternative opportunities for extra credit shall be available for students not wishing to participate as subjects in research, and that students may withdraw from participation for extra credit at any time without penalty. (See Appendix R, East Carolina University Faculty Manual.)
10. In all cases of research involving human beings or animals, faculty and staff members must be familiar with and adhere to special regulations and issues of ethics and humane treatment associated with research on these subjects. (See Appendix S, East Carolina University Faculty Manual.)
11. Faculty and staff members must comply with all regulations and laws affecting research and publication (including fiscal management, the use of hazardous materials, and patents, licensing, technology transfer), whether these be derived from the grantor, the local community, the University, or the state or federal government.

All members of the University community have a personal responsibility for implementing this policy in their research and creative activities.



EAST CAROLINA UNIVERSITY

Faculty Senate

Greenville, North Carolina 27858-4353
(919) 757-6537

February 7, 1989

Dr. Richard Eakin, Chancellor
East Carolina University
Spilman
Greenville, N. C. 27858

Dear Chancellor Eakin:

At the January 31, 1989, Faculty Senate meeting, the Senate adopted for your consideration the Policy Statement on Ethics in Research and Creative Activity (Resolution #89-1). This replaces the interim policy statement adopted on December 6, 1988, and is to be followed by the procedures adopted on that date. It is the sense of the Faculty Senate that the complete "Policy and Procedures on Ethics in Research and Creative Activities" should become an Appendix of the East Carolina University Faculty Manual.

A copy of the January 31, 1989, Senate minutes along with a complete copy of the policy and procedures is attached for your convenience.

Senate resolution #89-2 was not submitted for your approval as it charged the Faculty Governance Committee to consider a resolution offered by Simon Baker (Geography & Planning) to establish an "Excellence in Administration Award."

Thank you for your consideration of resolution #89-1.

Sincerely,

Conner SB

Conner Atkeson
Chair of the Faculty

smb
Attachment

cc: Vice Chancellor Bloodworth

EAST CAROLINA UNIVERSITY

GREENVILLE, NORTH CAROLINA 27858-4353

OFFICE OF THE CHANCELLOR

February 8, 1989

Mr. Conner Atkeson
Chair
Faculty Senate
East Carolina University

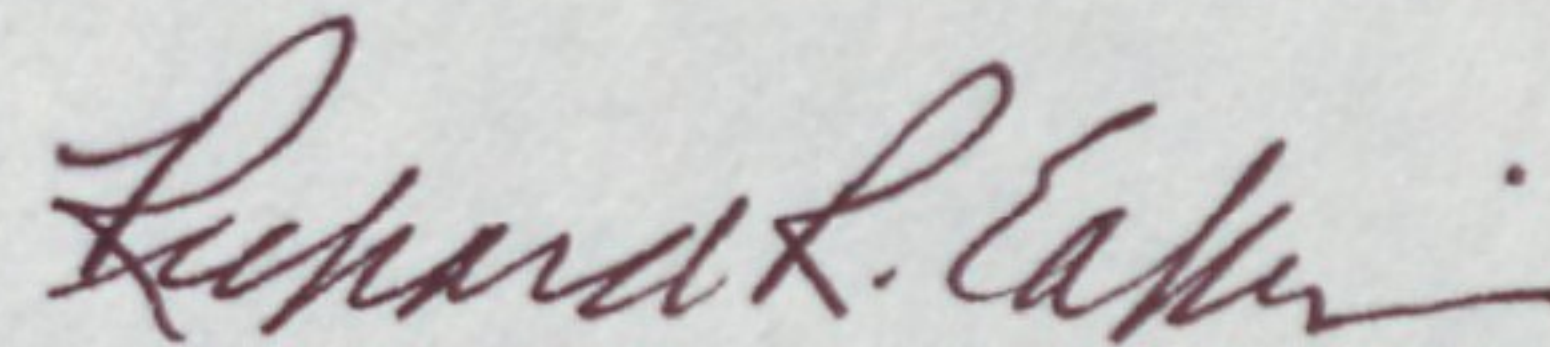
Dear Mr. Atkeson:

I am pleased to approve the Policy Statement on Ethics in Research and Creative Activity (Resolution #89-1) as enacted by the Faculty Senate. In order to tie the Policy Statement and Procedures together as a single statement, I recommend the editorial insertion of the phrase "in violation of the principles set forth in this policy" in items 1, 8, and 9 under Procedures as follows:

1. Anyone having reason ... or creative activity in violation of the principles set forth in this policy should consult
8. If the committee ... or unethical behavior in violation of the principles set forth in this policy, the chair
9. If the committee ... or unethical behavior in violation of the principles set forth in this policy, the committee

I congratulate the Faculty Senate on its thoughtful resolution of the several difficult issues presented by the consideration of this policy.

Sincerely,



Richard R. Eakin
Chancellor

RRE/ra

EAST CAROLINA UNIVERSITY
GREENVILLE, NORTH CAROLINA 27858-4353

DEPARTMENT OF PSYCHOLOGY

January 30, 1989

Telephone (919) 757-6800 or
(919) 757-6634

TO: All Faculty Senators
FROM: The Psychology Department

On January 23, 1989, the members of the Psychology Department unanimously voted to request the Faculty Senate to reword or omit the second paragraph of Principle 8 in the "Revised Policy and Procedures on Ethics in Research and Creative Activities."

The effect of the wording of the second paragraph is to make unethical the use of extra credit as an inducement for students to volunteer for participation as research subjects. Research on humans in the field of psychology, and perhaps other social sciences, would become extremely difficult if extra credit were to be considered an unethical research practice.

We do not believe that this is the intent of the proposed policy, and we therefore offer the following substitute for the second paragraph of Principle 8:

When it is appropriate for students to participate as subjects in research, faculty and staff must assure potential subjects that participation is absolutely voluntary, that participation as a research subject shall not be a course requirement, that research participation shall have educational value, that all students shall have an opportunity for extra credit, that students shall be told at the beginning of the course if there are to be opportunities for extra credit, and that students may withdraw from participation for extra credit at any time without penalty.

EAST CAROLINA UNIVERSITY
GREENVILLE, NORTH CAROLINA 27858-4353

REC'D JAN 3 1988

OFFICE OF THE CHANCELLOR

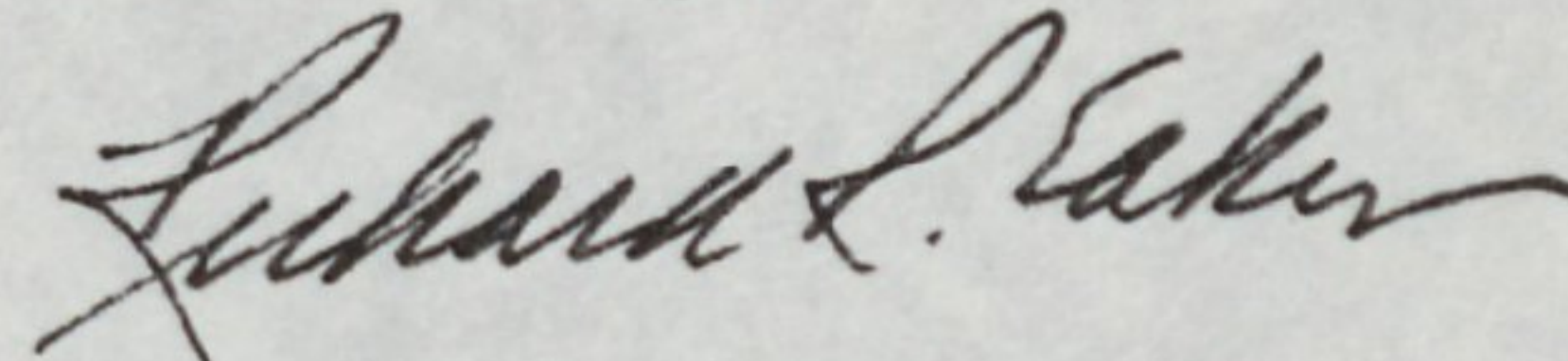
December 21, 1988

Mr. Conner Atkeson, Chair
Faculty Senate
East Carolina University

Dear Chair Atkeson:

The Faculty Senate Resolutions #88-47 through
#88-53, adopted at the December 6, 1988 meeting, are
approved.

Sincerely,



Richard R. Eakin
Chancellor

RRE/ra

- Committee Preference Forms Have Been Mailed
- Unit Senate Elections

EAST CAROLINA UNIVERSITY
GREENVILLE, NORTH CAROLINA 27858-4353

Planning &
Institutional Research

January 6, 1989

Telephone: 919-757-6288

To: William Shires
News Bureau & Public Relations

From: Billy Helton *BH*
Planning & Institutional Research

Subject: Clarification of Published Figures
of ECU Faculty

Our office reported 776 as the number of total ECU faculty for Fall 1988 in response to a telephone inquiry by the Charlotte Observer. This figure appeared in a list of North Carolina Colleges ranked by Fall 1988 enrollment published in the November 5, 1988 edition of the Charlotte Observer, and a copy of this list was included in the ECU Faculty Senate minutes of December 6, 1988.

The figure 776 represents the preliminary number of faculty to be included in the Fall 1988 AAUP (American Association of University Professors) Report on Faculty Salaries. Significant among the selection criteria for inclusion in the AAUP Report is that an employee must not be a clinical faculty member in a school of medicine. However, these medical clinical faculty members are included in the population of the Office of Civil Rights (OCR) Report on Employees in Institutions of Higher Education, and the Fall 1988 OCR Report shows ECU to have 1,041 faculty members.

At the time our office responded to the Observer's inquiry, we had preliminary figures for our AAUP Report faculty, but not for our OCR Report faculty, so we reported the former, emphasizing that this was our AAUP version. Although not specified in the table printed by the Observer, it appears as though the OCR version of faculty was used by other institutions. Could you please verify with the Observer whether the OCR version of faculty was used in the table, and, if so, inform them that the number of ECU faculty should be 1,041 (rather than 776)?

Your assistance in clarifying this matter in internal publications would also be greatly appreciated. Thanks for your cooperation.

CC: ✓ Conner Atkeson, Faculty Senate

B:Shires.erh