

DECEMBER SENATE ANNOUNCEMENTS

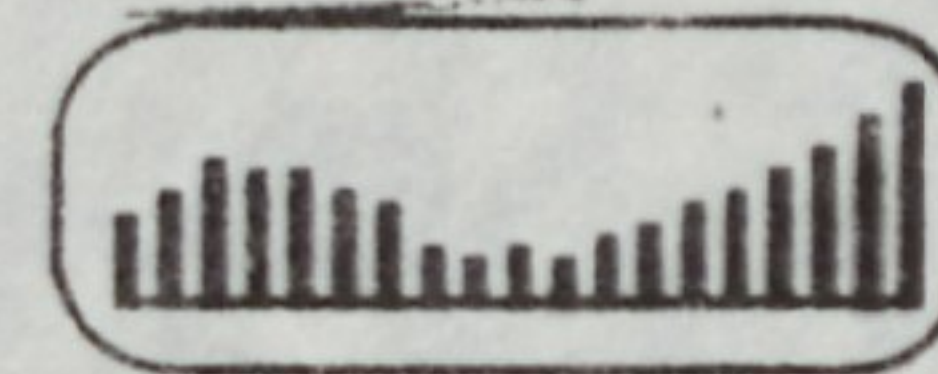
1. The wine and cheese for today's meeting is provided by Chancellor Eakin. Special thanks for this and to he and Mrs. Eakin for the party last night.
2. The deadline for Research/Creative Activity and Teaching Grants is Thursday, January 19, 1989, 4:30 p.m. Sixteen copies should be submitted to the Faculty Senate Office.
3. The deadline for submission of course proposals to the Curriculum Committee for Catalogue Supplement is Friday, March 31, 1989.
4. Chancellor Eakin has approved Senate resolutions as follows:
 - #88-37 Mission Statement Draft
 - #88-38 TIAA/CREF Educational Sessions
 - #88-39 Unit Code of the Counseling Center
 - #88-41 Fall 1988 Graduation List
 - #88-42 Repetition of Course Work Policy
 - #88-44 Curriculum Committee Report
 - #88-45 Recommendations for the Use of the Student Opinion Survey Data
5. Effective January 1, 1989, the daily subsistence rates for state employees will be follows:

	<u>In-State Travel</u>	<u>Out-of-State Travel</u>
Breakfast	\$ 4.00	\$ 5.00
Lunch	6.00	7.00
Dinner	11.00	14.00
Lodging (actual, up to)	<u>34.00</u>	(actual, up to) <u>41.00</u>
	\$55.00	\$67.00

6. A list of North Carolina Colleges ranked by Fall 1988 enrollment, as published in the November 5, 1988 edition of the Charlotte Observer, is included on the reverse side of these announcements.

N.C. Colleges

Ranked By Fall '88 Enrollment



Name Location, Telephone, Affiliation	Fall '88 Enrollment	Total Faculty	Costs: In-State Out-State	Avg. SAT Scores	% N.C. Res.	% Foreign	% In Dorms	Executive Officer Admissions Officer	Year Founded
1. N.C. State University Raleigh (919-737-2437) State	25,500	1,433	\$3,231 \$5,208	1052	85	4	25	Bruce Poulton George Dixon	1887
2. UNC - Chapel Hill Chapel Hill (919-966-3621) State	22,579	2,181	\$3,704 \$7,658	1101	83	29	31	Paul Hardin Richard Cashwell	1789
3. East Carolina University Greenville (919-757-6640) State	15,579	*776	\$2,149 \$4,068	*857	85	1	35	Richard Eiken Eugene Owens**	1907
4. UNC Charlotte Charlotte (704-547-2211) State	12,970	799	\$3,171 \$7,009	938	90	26	29	E.K. Fretwell Jr. John Neill	1946
5. UNC - Greensboro Greensboro (919-334-5000) State	11,183	*733	\$2,017 \$3,994	953	13	1	31	William Moran Charles Richard	1891
6. Appalachian State University Boone (704-262-2120) State	11,130	715	\$3,017 \$6,855	956	91	1/2	48	John Thomas Joe Watts	1903
7. Duke University Durham (919-684-3214) Independent	9,664	1,401	\$16,031	1306	2	6	47	H. Keith Brodie Richard Steele	1838
8. UNC - Wilmington Wilmington (919-395-3243) State	6,533	376	\$3,473 \$7,311	906	91	1/2	18	William Wagoner Diane Zeeman	1947
9. Western Carolina University Cullowhee (704-227-7317) State	6,162	*310	\$1,538 \$3,457	848	87	1	46	Myron Coulter Drumont Bowman	1889
10. N.C. A & T State University Greensboro (919-334-7500) State	6,152	484	\$3,197 \$7,035	n/a	76	3	46	Edward Fort Clenton Blount Jr.	1891
11. Wake Forest University Winston-Salem (919-761-5201) Southern Baptist	5,357	337	\$10,450	+1100	40	1/2	80	Thomas Hearn William Starling	1834
12. N.C. Central University Durnam (919-560-6298) State	5,183	n/a	\$3,519 \$7,019	737	82	1	39	Tyroneza Richmond Nancy Rowland	1910
13. Campbell University Bures Creek (919-893-4111) Southern Baptist	4,195	145	\$8,163	890	70	2	36	Norman Wiggins Herbert Kerner	1887
14. Elon College Elon College (919-584-2370) United Church of Christ	3,314	175	\$8,350	919	50	1	52	Fred Young Joanne Soliday	1889
15. UNC - Asheville Asheville (704-251-6600) State	3,152	209	\$3,452 \$6,824	951	93	2	24	David Brown John White	1927
16. Pembroke State University Pembroke (919-521-4214) State	2,835	135	\$2,168 \$5,540	n/a	97	2	26	Paul Givens Anthony Locklear	1887
17. Fayetteville State University Fayetteville (919-486-1317) State	2,726	n/a	\$4,424 \$12,100	672	93	0	37	Lloyd Hackley Charles Darlington	1867
18. Winston-Salem State University Winston-Salem (919-967-2011) State	2,532	183	\$3,046 \$6,418	690	91	n/a	43	Cleon Thompson Tylea Sides	1892
19. Meredith College Raleigh (919-833-6461) Southern Baptist	2,161	164	\$6,980	n/a	83	1	57	John Weems Sue Kearney	1891
20. Gardner-Webb College Boiling Springs (704-434-2361) Southern Baptist	2,156	141	\$8,260	800	70	1/2	37	Christopher White Randy Kilby	1928
21. High Point College High Point (919-841-9216) United Methodist	1,930	121	\$7,860	850	65	2	44	Jacob Martinson Jim Schlimmer	1924
22. St. Augustine's College Raleigh (919-828-4451) Episcopal	1,789	113	\$6,650	n/a	60	4	76	Prezell Robinson Elonzo Hendricks	1867
23. Guilford College Greensboro (919-292-5511) Society of Friends	1,755	100	\$11,032	1054	58	3	50	William Rogers Larry West	1837
24. Wingate College Wingate (704-233-4061) Southern Baptist	1,729	99	\$6,970	850	69	1	63	Paul Corts Steve Poston	1896
25. Elizabeth City State University Elizabeth City (919-335-3305) State	1,643	196	\$3,168	707	85	1	66	Jimmy Jenkins William Byrd	1891
26. Lenoir-Rhyne College Hickory (704-328-7300) Lutheran	1,605	107	\$9,295	953	68	3/4	56	John Trainer Richard Thompson	1891
27. Methodist College Fayetteville (919-488-7110) United Methodist	1,555	110	\$8,850	907	61	2	30	Elton Hendricks Flore Bergamasco	1956
28. N.C. Wesleyan College Rocky Mount (919-977-7171) United Methodist	1,524	79	\$7,770	n/a	5	1/2	24	Leslie Garner Jr. Pat Cerjan	1956
29. Shaw University Raleigh (919-755-4800) American Baptist	1,507	80	\$8,785	682	62	15	60	Talbert Shaw Gloria Smith	1865
30. Davidson College Davidson (704-892-2000) Presbyterian	1,416	137	\$13,261	1240	30	4	90	John Kuykendall Robert Gardner	1837
31. Queens College Charlotte (704-337-2212) Presbyterian	1,411	86	\$10,350	994	85	1	27	Billy Wireman Stephen Cloniger	1857
32. Atlantic Christian College Wilson (919-237-3161) Disciples of Christ	1,383	94	\$6,990	n/a	86	1	50	James Hemby Anthony Britt	1902
33. Mars Hill College Mars Hill (704-689-1201) Southern Baptist	1,345	137	\$7,150	852	71	1	58	Fred Bentley Jim Black	1856
34. Johnson C. Smith University Charlotte (704-378-1012) Independent	1,197	90	\$6,534	n/a	33	1	78	Robert Albright Wilburn Sanders Jr.	1867
35. Belmont Abbey College Belmont (704-825-3711) Roman Catholic	1,052	87	\$11,744	n/a	55	2	51	John Dempsey Denis Stokes	1876

Source: Charlotte Chamber, individual colleges and universities. #Costs include book rental. + High 1100's. n/a Not available.
 Notes: • Costs include tuition, room, board and fees and are subject to change. * Figures are preliminary. ** Acting director.

— Compiled by Carol Smith

Amendment to "Policy and Procedures on Ethics in Research
and Creative Activities"

First, at the end of paragraph 2 of the procedures (not policy),
add the following sentence:

This supervisor must complete the preliminary
inquiry within 30 calendar days.

Second, substitute the following paragraph for the original
paragraph 4 of the procedures:

4. Within two weeks, the Dean shall appoint an ad hoc
investigative committee of no fewer than three tenured
faculty members from the School, College, or other
unit (e.g., institute or center) who do not have a
conflict of interest. The chair will be elected
from within the committee. Individuals from outside
the University community may be asked to serve and
provide expertise as deemed necessary by the Dean
appointing the committee.

Third, add the following as a new section 12 of the procedures:

12. If an investigation is undertaken pursuant to this
policy, the investigation should normally be concluded
and the Dean's decision reached within 120 calendar days
from the initiation of the investigation. The Dean shall
be responsible for compliance with any reporting require-
ments imposed by the research sponsor, including any such
requirements relating to anticipated delays in the
investigative process.

Fourth, add the following as a new section 13 of the procedures:

13. This statement of Policies and Procedures on Ethics
in Research and Creative Activities shall be effective
March 1, 1989. Any procedures initiated prior to
March 1, 1989 under school or university procedures
then in effect shall be concluded under those procedures.

HISTORY of the development of the document:

Policy and Procedures on Ethics in Research and Creative Activities

The germinal seeds for this document included an accusation of fraud against a School of Medicine faculty member and concern on the part of the Office of Sponsored Programs Director, Robert Franke. When the School of Medicine requested assistance from the University Attorney, Mr. Stephens offered little advice because neither policy nor procedures were to be found in university documents or manuals. After an exchange of letters between the University Attorney, Mr. Franke and Vice Chancellor for Academic Affairs Angelo Volpe, a sub-committee of the Research/Creative Activity Committee was formed in the Fall of 1985, with additional members for expertise, under the Chair of Roger Eldridge. The following academic year the ad hoc committee was chaired by Brian McMillen.

The committee reviewed ECU documents and found that many policies pertinent to research and creative activities were non-existent beyond those found in Faculty Manual Appendices O - S. Nowhere was there mention of academic fraud or misconduct as an offense subject to disciplinary action. Other regulatory matters were not mentioned as well. Next the committee solicited documents from other institutions; University of Florida, Pennsylvania State University, University of California-San Diego among others provided useful documents. By the end of May 1987, a 27 page document was completed. This included Appendices O - S from the Faculty Manual and about 14 pages of new material. The document was intended to serve either as an appendix to the Faculty Manual or as a stand alone document to be disseminated to the faculty of ECU. The document was reported to Vice Chancellor Volpe two weeks before he left for Tennessee Tech. Both he and Chancellor Eakin had a chance to review the document, some minor editorial changes were made and the document referred to Bill Bloodworth and Dave Stephens to sort out the language and legal ramifications.

Mr. Stephens apparently only wanted a simple phrase that academic misconduct was subject to disciplinary action and not a 27 page document. He recommended that the document be dropped in favor of reference to existing UNC System documents (although the committee had not found any useful documents). **However, Federal laws and regulations had caught up with us regarding concerns over scientific fraud.** The National Science Foundation (NSF), U. S. Department of Agriculture (USDA) and the National Institutes of Health (NIH) through the U.S. Public Health Service have all published regulations and guidelines or intent to establish such guidelines in the Federal Register. The NSF and NIH are going to require that all recipient institutions have in place administrative procedures for handling accusations of scientific misconduct. The NIH grant application Assurance Page now has a box asking whether we have such procedures. Currently we check 'no', but since the NIH has closed the period for final comments on their proposed regulations (ordered by Public Law 99-158) the grace period may soon be over. Mr. Franke and I suspect that final regulations will be in place and the 'yes' box will have to be checked by the October 1, 1989 due date for competing grants (which marks the start of the Federal fiscal year). However, the NIH could implement their regulations at any time and thus affect the February 1 or July 1 due dates for grant review cycles. Given this continuing background of Federal activity, a new committee was formed at the request of VCAA Bill Bloodworth and a document dealing with just academic misconduct developed and sent on

April 7, 1988 to University Attorney Irons for his review. Thus, a much shorter document was prepared dealing only with the urgency of procedures for accusations of misconduct. Although not required, the document puts forward several definitions of activities deemed to constitute misconduct. The Inspector General's office of Department of Health and Human Services has contacted the School of Medicine and inquired regarding whether there have been cases of fraud and whether we had either definitions or procedures to follow.

When Mr. Irons received notice of the final comment period by NIH had been published in the September 19, 1988 Federal Register from Brian McMillen through School of Medicine Dean Hallock, the draft received his legal review. The full Research/Creative Activity Committee reviewed and amended the draft on November 22, 1988. This final document is what you have now for consideration. The other areas of concern addressed by the original sub-committee are still left untouched. However, at present, this short document is needed to be in compliance with Federal regulations or risk becoming ineligible to receive NIH or NSF funding, which represents the bulk of the research dollars at ECU. There is another round of proposed rule making by NIH proceeding with much more stringent language. Effective response to this first round by recipient institutions may determine the severity imposed by the next round.

Recorded by:

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REC'D JAN 3 1988

OFFICE OF THE CHANCELLOR

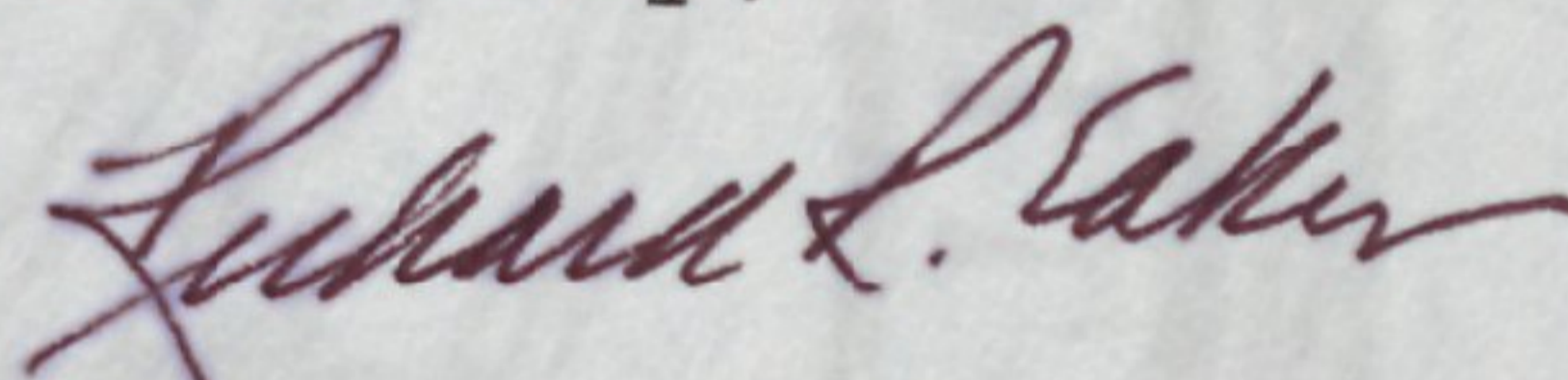
December 21, 1988

Mr. Conner Atkeson, Chair
Faculty Senate
East Carolina University

Dear Chair Atkeson:

The Faculty Senate Resolutions #88-47 through
#88-53, adopted at the December 6, 1988 meeting, are
approved.

Sincerely,



Richard R. Eakin
Chancellor

RRE/ra